



RECEIPT

Practitioner's ~~Office~~ No. 70801-56345
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Yorishige Ishii
Application No.: 09/920,873 Group No.: 2633
Filed: August 2, 2001 Examiner: Not assigned
For: Optical Communication Module

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
JAN 29 2002
Technology Center 2600

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

Note: The PTO will not correct the filing receipt until the application is complete (in other words, the applicant files a response to the notice to file missing parts).

2. There is an error with respect to the following data, which is:

☒ incorrectly entered

and/or

☐ omitted.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

- ☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office (703) ____-____.

Date: Oct. 16, 2001

Signature

Laurie J. Brown

(type or print name of person certifying)

Error in

1. ☒ First Applicant's name
2. ☐ Applicant's address
3. ☐ Title
4. ☐ Filing Date
5. ☐ Serial Number
6. ☐ Foreign/PCT Application Re:
7. ☐ Other

Correct data

1. Should be spelled "Yorishige Ishii"
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.

RECEIVED
JAN 29 2002
Technology Center 2600

3. *(complete the following applicable item)*

- A. This misspelling was the result of a typographical error on the unsigned Declaration submitted with the application. The error has been corrected on the signed Declaration, a copy of which is attached.
- B. Please charge any fees due in connection with the Corrected Filing Receipt to our Deposit Account No. 04-1105.

Reg. No.: 26,766



SIGNATURE OF PRACTITIONER

Peter J. Manus

(type or print name of practitioner)

Dike Bronstein Roberts & Cushman
Intellectual Property Group of
EDWARDS & ANGELL, LLP
P.O. Box 9169
Boston, MA 02209
Tel: (617) 517-5530
Fax: (617) 439-4170

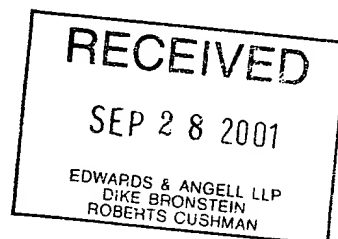


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/920,873	08/02/2001	2633	710	70801-56345	8	8	1

Edwards & Angell, LLP
P.O. Box 9169
Boston, MA 02209



CONFIRMATION NO. 5108

FILING RECEIPT



OC000000006796945

Date Mailed: 09/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Yorishige Ishi, Nara, JAPAN;
Toshiyuki Matsushima, Nara, JAPAN;
Hideaki Fujita, Nara, JAPAN;
Yoshifumi Iwai, Nara, JAPAN;
Yukio Kurata, Nara, JAPAN;
Toshihiro Tamura, Nara, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2000-237275 08/04/2000

If Required, Foreign Filing License Granted 09/26/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Optical communication module

Preliminary Class

359

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).